

ROOT TEST AND

RATIO TEST (for a nonnegative sequence $\{a_n\}_n$)

(a) RATIO TEST:

If $\frac{a_{n+1}}{a_n} \rightarrow \lambda$ as $n \rightarrow \infty$, Then

- ① If $\lambda < 1$, $\sum a_n$ converges
- ② If $\lambda > 1$, $\sum a_n$ diverges
- ③ If $\lambda = 1$; NO CONCLUSION

(b) ROOT TEST:

If $\sqrt[n]{a_n} \rightarrow \rho$ as $n \rightarrow \infty$, Then

- ① If $\rho < 1$, $\sum a_n$ converges
- ② If $\rho > 1$, $\sum a_n$ diverges
- ③ If $\rho = 1$, NO CONCLUSION

EG $\sum_n ar^n$ (geometric series) ; $a_n = ar^n$

ratio: $\frac{a_{n+1}}{a_n} = \frac{ar^{n+1}}{ar^n} = r \rightarrow r$ as $n \rightarrow \infty$

if $r < 1$, converges; if $r > 1$, diverges (we knew this!)

if $r = 1$, no conclusion (we know series diverges)
but test says nothing

root $a_n^{1/n} = (\sqrt[n]{a}) r \rightarrow r$ as $n \rightarrow \infty$

\therefore Same conclusions as above

EG $\sum \frac{n^2}{n!}$ $a_n = \frac{n^2}{n!}$

root test $\sqrt[n]{a_n} = (n^{\frac{1}{n}})^2 / \sqrt[n]{n!}$ - can't compute limit!

ratio test $\frac{a_{n+1}}{a_n} = \frac{(n+1)^2}{(n+1)!} / \left(\frac{n^2}{n!}\right) = \underbrace{\left(\frac{n!}{(n+1)!}\right)}_{= \frac{1}{n+1}} \left(\frac{n+1}{n}\right)^2 = \frac{n+1}{n^2} \rightarrow 0$ as $n \rightarrow \infty$

Since $0 < 1$, series converges

REMARK Since $\sum \frac{n^2}{n!}$ converges, we get 'for free' that $\frac{n^2}{n!} \rightarrow 0$ as $n \rightarrow \infty$

EG $\sum \frac{n}{2^n}$ $a_n = \frac{n}{2^n}$

root: $\sqrt[n]{a_n} = \frac{\sqrt[n]{n}}{\sqrt[n]{2^n}} = \frac{\sqrt[n]{n}}{2} \rightarrow \frac{1}{2}$ as $n \rightarrow \infty$. $\frac{1}{2} < 1$, so

The series $\sum \frac{n}{2^n}$ converges by root test

ratio: $\frac{a_{n+1}}{a_n} = \left(\frac{n+1}{2^{n+1}}\right) / \left(\frac{n}{2^n}\right) = \left(\frac{2^n}{2^{n+1}}\right) \left(\frac{n+1}{n}\right) = \frac{1}{2} \left(1 + \frac{1}{n}\right) \rightarrow \frac{1}{2}$ as $n \rightarrow \infty$

Since $\frac{1}{2} < 1$, series converges by ratio test

EG $\sum \frac{n!}{n^n}$

$$\frac{a_{n+1}}{a_n} = \frac{(n+1)!}{(n+1)^{n+1}} \cdot \frac{n^n}{n!} = \frac{(n+1)!}{n!} \cdot \frac{n^n}{(n+1)^{n+1}}$$

$$= (n+1) \left(\frac{n^n}{(n+1)^{n+1}} \right) = \frac{n^n}{(n+1)^n} = \left(\frac{n}{n+1} \right)^n$$

$$= \left(\frac{1}{1 + \frac{1}{n}} \right)^n = \frac{1}{\left(1 + \frac{1}{n}\right)^n} \rightarrow \frac{1}{e} \text{ as } n \rightarrow \infty$$

$e \approx 2.718...$, so $\frac{1}{e} < 1$, so $\sum \frac{n!}{n^n}$ converges.

EG $\sum \frac{1}{n}$:

by ratio test

root: $\sqrt[n]{a_n} = \left(\frac{1}{n}\right)^{\frac{1}{n}} = \frac{1}{\sqrt[n]{n}} \rightarrow 1 \text{ as } n \rightarrow \infty$, no information

ratio: $\frac{a_{n+1}}{a_n} = \left(\frac{1}{n+1}\right) / \left(\frac{1}{n}\right) = \frac{n}{n+1} \rightarrow 1 \text{ as } n \rightarrow \infty$, no information

WARNING note $\frac{1}{\sqrt[n]{n}} < 1$, also $\frac{n}{n+1} < 1$; only limit is important

EG $\sum \frac{1}{n^2}$:

root $\sqrt[n]{a_n} = \left(\frac{1}{n^2}\right)^{\frac{1}{n}} = \left(\frac{1}{n^{2/n}}\right) = \left(\frac{1}{\sqrt[n]{n}}\right)^2 \rightarrow \frac{1}{1^2} = 1 \text{ as } n \rightarrow \infty$

ratio $\frac{a_{n+1}}{a_n} = \left(\frac{1}{(n+1)^2}\right) / \left(\frac{1}{n^2}\right) = \frac{n^2}{(n+1)^2} = \left(\frac{n}{n+1}\right)^2 \rightarrow 1 \text{ as } n \rightarrow \infty$

So again: Neither test gives information

Series with NEGATIVE TERMS

EG WE KNOW $\sum_{n=1}^{\infty} \frac{1}{n} = 1 + \frac{1}{2} + \frac{1}{3} + \frac{1}{4} + \dots$ diverges

WHAT ABOUT $\sum_{n=1}^{\infty} (-1)^{n+1} \frac{1}{n} = 1 - \frac{1}{2} + \frac{1}{3} - \frac{1}{4} + \frac{1}{5} - \dots$?

REWRITE: " = " $\sum_{n=0}^{\infty} \left(\frac{1}{2n+1} - \frac{1}{2n+2} \right)$

$$= \sum_{n=0}^{\infty} \frac{(2n+2) - (2n+1)}{(2n+1)(2n+2)}$$

$$= \sum_{n=0}^{\infty} \frac{1}{4n^2 + 6n + 2} \quad \text{converges!}$$

↑
"Alternating
harmonic
series"

DEF If $\sum |a_n|$ converges, say $\sum a_n$ converges absolutely

if $\sum |a_n|$ diverges, but $\sum a_n$ converges,

say $\sum a_n$ converges conditionally

THEOREM If $\sum |a_n|$ converges then $\sum a_n$ converges

PRF $a_n = (a_n + |a_n|) - |a_n|$, so suffice to show $\sum (a_n + |a_n|)$ converges

but: $0 \leq a_n + |a_n| \leq 2|a_n|$, and $\sum 2|a_n|$ converges

so $\sum (a_n + |a_n|)$ converges by comparison test.

DEF $\sum a_n$ is an alternating series if the signs alternate - That is, $\text{sign}(a_n) = -\text{sign}(a_{n+1})$ for all n

EG $1 - \frac{1}{2} + \frac{1}{3} - \frac{1}{4} + \frac{1}{5} - \frac{1}{6} + \dots$ is alternating

$1 - \frac{1}{2} - \frac{1}{3} + \frac{1}{4} - \frac{1}{5} + \frac{1}{6} - \dots$ is not alternating

THM ("Alternating series test") If $\sum a_n$ is an alternating series and $|a_n|$ monotonically decreases to 0

then $\sum a_n$ converges ("bad test becomes good test")

EG $\sum_{n=0}^{\infty} (-1)^n \frac{n}{n^2+1} = 0 - \frac{1}{2} + \frac{2}{5} - \frac{3}{10} + \frac{4}{17} - \dots$

alternating ✓

$$\lim_{n \rightarrow \infty} |a_n| = \lim_{n \rightarrow \infty} \frac{n}{n^2+1} = 0 \quad \checkmark$$

Monotonic: ① If $f(x) = \frac{x}{x^2+1}$, $f'(x) = \frac{1-x^2}{(x^2+1)^2} < 0$ on $[1, \infty)$, so \downarrow

- or - ② $\left| \frac{a_{n+1}}{a_n} \right| = \frac{(n+1)}{(n+1)^2+1} \bigg/ \frac{n}{n^2+1} = \frac{(n+1)(n^2+1)}{(n^3+2n+2)n} = \frac{n^3+n^2+n+1}{n^3+2n^2+2n} < 1$

$$\text{so } |a_{n+1}| < |a_n|$$

- or - ③ (Exercise) show $|a_n| - |a_{n+1}| > 0$

EG $\sum (-1)^n \frac{1}{n+1}$ Since $a_n \not\rightarrow 0$, series diverges

Proof of alternating series test:

Suppose (for definiteness) series starts with a positive

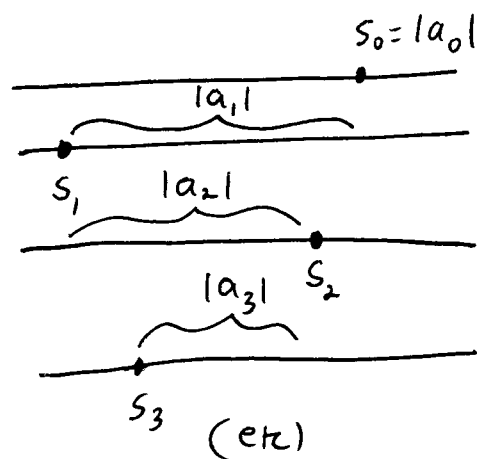
term, for example $\sum_{n=0}^{\infty} (-1)^n |a_n|$

Plot successive partial sums:

$$s_0 \geq s_2 \geq s_4 \geq s_6 \geq \dots \geq s_5 \geq s_3 \geq s_1$$

$$\text{and } |s_{2n+1} - s_{2n}| = |a_{2n+1}| \rightarrow 0 \text{ as } n \rightarrow \infty$$

(Why is this enough?)



COROLLARY (IMPORTANT) If $\sum a_n$ an alternating series

$$\text{and } \sum a_n = L \text{ then } |S_N - L| < |a_{n+1}|$$

EG To find $(1 - \frac{1}{2} + \frac{1}{3} - \frac{1}{4} + \frac{1}{5} - \frac{1}{6} + \dots)$ within $\frac{1}{10000}$, suffices to

$$\text{take } 1 - \frac{1}{2} + \frac{1}{3} - \frac{1}{4} + \dots + \frac{1}{9999}$$