SEMIORDERINGS AND WITT RINGS

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Abstract. For a pythagorean field $F$ with semiordering $Q$ and associated preordering $T$, it is shown that the Witt ring $W_T(F)$ is isomorphic to the Witt ring $W(K)$ where $K$ is a closure of $F$ with respect to $Q$. For an arbitrary preordering $T$, it is shown how the covering number of $T$ relates to the construction of $W_T(F)$.

1. Introduction and notation.

In [Cr1], the first author introduced the concept of an order closed field, a field which has no proper algebraic extension to which all of its orderings extend uniquely. These were studied much more deeply in [Cr3] in which a second concept was introduced, that of a strongly order closed field, a field with the property that it has no proper algebraic extension to which all of its orderings extend. Among other things, it is shown that for large classes of fields, the two concepts coincide. It is still an open question whether every order closed field is strongly order closed. In [Cr3], although the spaces of orderings are homeomorphic in going to an order closure, no attempt is made to keep the reduced Witt ring from becoming larger. Indeed, [Cr3, §5] explores the reasons that this is impossible when one deals with the entire set of orderings of a field. In the present paper we are able to obtain control over the growth of the reduced Witt ring by restricting attention to the orderings over certain types of preordering.

The work here depends strongly on the use of semiorderings of a field.

Definition. A semiordering on a field $F$ is a subset $Q$ of $F$ satisfying $1 \in Q$, $Q \cup -Q = F$, $Q \cap -Q = \{0\}$, $Q + Q \subseteq Q$, and $F^2Q = Q$.

Thus a semiordering is more general than an ordering in that it need not be closed under multiplication. A semiordering which is not an ordering is called a proper semiordering. The concept of a semiordering first occurs in work of R. Baer [B]. Semiorderings have had a major place in the theory of formally real fields since their use in quadratic form theory by Prestel [P] and subsequent work by Becker and Köpping [BK]. An excellent source of

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general information on semiorderings can be found in a survey by Lam [L]. More recent uses are found in [PD] and [JP]. They also show up in applications to division algebras, where a Baer ordering is just a generalization of semiordering to the situation of a division ring with a nontrivial involution (cf. [Cr4]). Following [P] and [L], we write $Y_F$ for the topological space of all semiorderings and $X_F$ for the subspace of orderings, where the topology is given by the Harrison subbasis. This is defined as the collection of all subsets of the form

$$H(a) = \{ Q \in Y_F \mid a \in Q \} \quad (a \in \hat{F}).$$

We allow our orderings, semiorderings, etc. to contain 0, but sometimes we need to eliminate 0 from a set. In general, for any subset $S \subseteq F$, we write $\_S$ for $S \setminus \{0\}$.

We follow Efrat and Haran [EH] in defining a field $F$ with semiordering $Q$ to be semireal closed (SRC) if $Q$ does not extend to any algebraic extension of $F$ and to be quadratically semireal closed (QSRC) if $Q$ does not extend to any quadratic extension of $F$. We extend this to say that, given an arbitrary semiordered field $(F, Q)$, an extension $(K, \tilde{Q})$ is a semireal closure (resp. quadratic semireal closure) of $F$ if $K$ is contained in the algebraic (resp. quadratic) closure of $F$, $\tilde{Q} \cap F = Q$ and $Q$ does not extend to any algebraic (resp. quadratic) extension of $K$. There is a subtlety here that is not readily apparent. This is not the same as saying that $(K, \tilde{Q})$ is SRC (resp. QSRC) with $\tilde{Q} \setminus F = Q$. As an example, take $F = \mathbb{Q}(x)$, the field of Laurent series over the rationals, and let $Q$ be its ordering in which $x$ is positive. Then a semireal closure of $F$ will be the real closed field $L$ equal to the compositum $\mathbb{Q} \cdot \mathbb{Q}((x))(x^{1/n}, n = 2, 3, 4, \ldots)$, where $\mathbb{Q}$ is a real closure of $\mathbb{Q}$. Inside this field, we have $F' = \mathbb{Q}(\sqrt[2]{x})$ which has four orderings and four proper semiorderings. (For the construction, see [P, Theorems 7.8, 7.9].) Let $Q'$ be one of the proper semiorderings of $F'$ that restricts to $Q$. Then $(F', Q')$ has a semireal closure $(K, \tilde{Q})$ inside $L$ which has four orderings and is a SRC field extending $(F, Q)$, but is not a semireal closure of $(F, Q)$ since $Q$ will extend further even though $\tilde{Q}$ will not.

For any field $F$, we denote the algebraic closure by $\bar{F}$ and the quadratic closure by $F_q$. We shall begin by proving the existence of semireal closures, but first we state one of the few theorems in the literature on extending semiorderings.

**Theorem 1.1** [P, Theorems 1.24, 1.26], [Br, 2.16–2.18]. Let $F$ be a field and let $K$ be an extension of $F$. A semiordering $Q$ of $F$ extends to $K$ if and only if for every $a_1, \ldots, a_n \in \mathbb{Q}$, the quadratic form $\sum a_i x_i^2$ has no nontrivial zeros in $K$. If $[K : F]$ is odd, then $Q$ always extends to $K$. If $K = F(\sqrt{a})$, then $Q$ extends to $K$ if and only if $aQ \subseteq Q$. □

**Theorem 1.2.** Let $(F, Q)$ be a semiordered field. Then there exist a semireal closure and a quadratic semireal closure of $(F, Q)$.

**Proof.** We do the semireal closure case. The quadratic case is done by replacing the algebraic closure $\bar{F}$ by the quadratic closure. Consider the collection of all subfields of $\bar{F}$ to which $Q$ extends. For any chain $F_\alpha$ of such subfields, the union is again a field to which $Q$ extends by Theorem 1.1 since any equation $\sum a_i x_i^2 = 0$ depends on only finitely
many of the subfields. Thus Zorn’s Lemma guarantees a maximal element of our class of subfields, which is a semireal closure by definition. □

Preorderings associated with semireorderings.

A preordering of a field $F$ is a proper subset $T \subseteq F$ satisfying $F^2 \subseteq T$, $T + T \subseteq T$ and $T \cdot T \subseteq T$. A preordering is always equal to the intersection of the set of orderings containing it [L, Theorem 1.6]. We write

$$Y_T = \{ Q \in Y_F \mid T \cdot Q \subseteq Q \}$$

for the space of all semireorderings associated with a given preordering $T$ and $X_T$ for the subspace of all orderings in $Y_T$, the topology being inherited from $Y_F$ (see (1)). Because of the multiplicative structure of orderings, the set $X_T$ can be written as $\ell(F, \mathbb{Z})$, where $\mathbb{Z}$ has the discrete topology. To develop a local version of the work in [Cr3], we work only with the set $X_T$ for a preordering $T$ associated with a given semiring. By restricting functions from $X_F$ to $X_T$, we obtain a quotient ring $W_T(F)$ of the reduced Witt ring $W_{red}(F)$ [L, §1]. One of our major goals is to find an extension field $K$ of $F$ such that the canonical homomorphism $W_{red}(F) \to W(K)$ induces an isomorphism $W_T(F) \cong W(K)$. In the next section we are able to do this for certain preorderings by using quadratic semireal closures.

Definition. Let $S$ be any subset of $Y_F$, that is, any collection of semireorderings of the field $F$. Following [EH], we say that the semireorderings in $S$ form a cover of the preordering $T = \{ a \in F \mid aQ \subseteq Q \text{ for all } Q \in S \}$.

Efrat and Haran note that for any preordering $T$, the set of all $P \in X_T$ form a cover of $T$ and define the covering number $\text{cn}(T)$ to be the minimum size of a cover for $T$. We shall use the notation $T_S$ for the preordering above associated with $S$, writing $T_Q$ if $S = \{Q\}$. Other than in exceptional cases, such as a SAP preordering or an archimedean ordering, a minimal cover uses proper semireorderings.

2. Quadratic semireal closures for pythagorean fields.

For an inclusion of fields $F \subseteq K$, the image of the induced ring homomorphism $W(F) \to W(K)$ is generally of great interest, but also often difficult to compute. Given a formally real field $F$, constructing a pythagorean algebraic extension to which a given set of orderings extends uniquely is quite complicated, and it is also very difficult to control what happens to the Witt ring (see, for example, [Cr3, §5]). We now investigate the role of quadratic semireal closures in this endeavor.

It turns out that we can actually construct quadratic semireal closures of a pythagorean semiordered field $(F, Q)$ by using valuation theory. Let $T$ be the preordering $T_Q = \{ a \in F \mid aQ \subseteq Q \}$. We follow Lam [L, Chap. 3] in writing $A^T = \prod \{ A(P) \mid P \in X_F, P \supseteq T \},$
where $A(P)$ is the canonical valuation ring associated with the ordering $P$ determined by archimedean classes [L, Theorem 2.6]. The ring $A^T$ is a valuation ring associated to some valuation $v$ on $F$ and $v$ is fully compatible with $T$ (i.e., $1 + m_v \subseteq T$, where $m_v$ is the maximal ideal of $A^T$).

**Theorem 2.1.** Let $(F, Q)$ be a semiordered pythagorean field and let $T, v, A^T, m_v$ be as above. The 2-henselization $\tilde{F}$ of $F$ with respect to $v$ is a quadratic semireal closure of $(F, Q)$. Furthermore, $W_T(F) \cong W(\tilde{F})$.

**Proof.** First note that the space of orderings is the proper one: restriction of orderings (or semiorderings) from $\tilde{F}$ to $F$ is a homeomorphism [P, Lemma 8.2], [L, Prop. 3.17]. The semiordering $Q$ is compatible with $v$ in the strong sense that $a \in Q, v(a) < v(b)$ implies that $a - b \in Q$: Indeed, we have $a - b = a(1 - a^{-1}b)$ where $a \in Q$, $1 - a^{-1}b \in 1 + m_v \subseteq T$, whence $a - b \in Q$. Let $\tilde{Q}$ be the extension of $Q$ to $\tilde{F}$. By [EH, Lemma 4.2], we shall be finished if we can show that the preordering covered by $\tilde{Q}$ is $\tilde{F}^2$. Let $x \in \tilde{F}$ be such that $x\tilde{Q} = \tilde{Q}$. Since a 2-henselian extension is immediate, the value groups and residue fields are the same for $v$ on $F$ and its unique extension to $\tilde{F}$. Thus we can find an element $z \in F$ with $v(z) = v(x)$, so that $x = uz$, where $u$ is a unit in $A^T$. Furthermore, since the residue fields are the same, the unit $u$ has the form $u_0(1 + m)$ where $u_0 \in F$ and $m$ is in the extended maximal ideal. But the 2-henselian property implies, by Hensel’s lemma for quadratics, that $1 + m$ is a square in $\tilde{F}$. Thus we have $x = u_0zy^2$ for some $y \in \tilde{F}$ and $u_0z \in F$. This gives $x\tilde{Q} = u_0z\tilde{Q}$, so that $u_0z\tilde{Q} \subseteq \tilde{Q} \cap F = Q$. By definition $u_0z \in T$. By [L, Theorem 3.18], $T$ extends uniquely to $\tilde{T} = \bigcap \tilde{P}$, where $\tilde{P}$ ranges over all orderings of $\tilde{F}$, hence $\tilde{T} = \tilde{F}^2$ since $\tilde{F}$ is pythagorean. But then $x = u_0zy^2 \in T \cdot \tilde{F}^2 = \tilde{T} = \tilde{F}^2$ as desired.

For the final statement, first note that we have $\tilde{F}^2 \cap F = T$, since each ordering over $T$ extends uniquely to $\tilde{F}$ [L, Theorem 3.18], and $F \cdot \tilde{F}^2 = \tilde{F}$, the latter by the argument above for $x \in \tilde{F}$, but ignoring the condition $x\tilde{Q} \subseteq \tilde{Q}$. From this we obtain $\tilde{F}/\tilde{T} \cong \tilde{F}/(\tilde{F}^2 \cap \tilde{F}) \cong (\tilde{F}:\tilde{F}^2)/\tilde{F}^2 \cong \tilde{F}/\tilde{F}^2$, whence the inclusion of $F$ in $\tilde{F}$ induces an isomorphism $W_T(F) \cong W(\tilde{F})$. □

We next show that for a pythagorean field, all quadratic semireal closures arise as above.

**Proposition 2.2.** Let $(K, Q)$ be a semiordered pythagorean field with quadratic semireal closure $(\tilde{K}, \tilde{Q})$. Let $v$ be the valuation associated with $T = T_Q$ as above.

1. There exists a maximal immediate extension $L$ of $K$ inside $\tilde{K}$.
2. If $L_0$ is an immediate quadratic extension of $L$, then $KL_0$ is an immediate quadratic extension of $K$.
3. $L$ is a 2-henselization of $K$ with respect to $v$.
4. $L$ is a quadratic semireal closure of $(K, Q)$, so $L = \tilde{K}$.

**Proof.** (1) is an easy application of Zorn’s lemma.
(2) Consider an immediate quadratic extension $L_0 = L(\sqrt{a})$. We must show that $\tilde{K}(\sqrt{a})$
is an immediate extension of $\tilde{K}$. Write $v$ also for any extension of $v$. By hypothesis, $L(\sqrt{a})_v = L_v \subseteq \tilde{K}_v$, so $\tilde{K}_v = \tilde{K}(\sqrt{a})_v$ and hence the residue degree $f_{\tilde{K}(\sqrt{a})/\tilde{K}} = 1$. Also, $v(\sqrt{a}) \in \Gamma_L$, whence $v(\sqrt{a}) \in \Gamma_{\tilde{K}}$, so the ramification index $e_{\tilde{K}(\sqrt{a})/\tilde{K}} = 1$.

(3) Let $L_0$ be any immediate quadratic extension of $L$. The semiordering $\tilde{Q}$ extends to $\tilde{K}L_0$ since the extension is immediate [P, Lemma 8.2]. But this contradicts the QSRC property of $(\tilde{K}, \tilde{Q})$. It follows that $L$ must be a 2-henselization of $K$ with respect to the valuation $v$ ([En, §26]).

(4) By Theorem 2.1, the field $L$ is QSRC with respect to the semiordering induced by $\tilde{Q}$, so $L = \tilde{K}$. □

From the previous two results, we immediately obtain our main theorem.

**Theorem 2.3.** Let $(F, Q)$ be a semiordered pythagorean field and let $(K, \tilde{Q})$ be a quadratic semireal closure. Let $T$ be the preordering covered by $Q$. Then $W_T(F) \cong W(K)$.

As a corollary of the comments prior to Theorem 2.1 on valuation rings, we have the following, which generalizes Bröcker’s Trivialization Theorem for fans [L, Theorem 12.6] to a much larger class of preorderings.

**Corollary 2.4.** Let $T$ be a preordering on a field $F$ which is not an ordering and which has covering number one. Then there exists a nontrivial valuation on $F$ which is fully compatible with $T$. □

Theorem 2.3 applies only to preorderings with covering number one. However, a field extension can always be made to lower the covering number (while increasing the number of orderings and the size of the Witt ring, but in a very predictable way). To prove this, we make use of the following theorem of Prestel.

**Theorem 2.5** [P, Lemma 7.5, Lemma 7.7, Theorem 7.8]. Let $v$ be a real valuation on a field $F$ with value group $\Gamma$, maximal ideal $m_v$, units $U_v$ and residue class field $F_v$, and let $s: \Gamma \to \hat{F}$ be a semisection of $v$. There is a one-to-one correspondence between the set of semioroderings $Q$ of $F$ compatible with $v$ and the set

$$\{ \mathfrak{P} \mid \mathfrak{P}: \Gamma/2\Gamma \to Y_{F_v} \} \times \{ \sigma \mid \sigma: \Gamma/2\Gamma \to \{\pm 1\}, \sigma(0) = 1 \},$$

given as follows: A semiordering $Q$ induces mappings $\mathfrak{P}_Q$ and $\sigma_Q$ such that

1. $\sigma_Q(\gamma)s(\gamma) \in Q, \forall \gamma \in \Gamma$, and $b + m_v \in \mathfrak{P}_Q(\gamma) \iff bs(\gamma)\sigma_Q(\gamma) \in Q, \forall b \in U_v,$

and mappings $\sigma$ and $\mathfrak{P}$ induce a semiordering $Q$ by

$$a \in Q \iff \frac{a}{s(v(a))}\sigma(v(a)) + m_v \in \mathfrak{P}(v(a)), \forall a \in \hat{F}.$$
Proposition 2.6. Given a field $F$ with preordering $T$, there exists a henselian extension $K$ of $F$ with residue class field $F$ and with extension $T'$ of $T$ such that $\text{cn}(T') = 1$ and $T' = T \cdot K^2$.

Proof. Let $\{S_i \mid i \in I\}$ be a minimal cover for $T$. Form an extension field of iterated Laurent series $K = F((x_\alpha : \alpha \in A))$, where the index set $A$ is chosen so large that there exists an injection $\varphi$ of $I$ into $B = \{\prod_{j=1}^{n} x_{\alpha_j} \mid \alpha_j \in A\}$. Without loss of generality, we may assume that the empty product 1 is in the image of $\varphi$, say $\varphi(i_0) = 1$. (To make sense of the iterated Laurent series, one should well-order the set $A$ and adjoin one indeterminate at a time, taking unions for limit ordinals.) Now $K$ has a natural henselian valuation $\nu$ with residue class field $F$ and value group $\Gamma$ satisfying

$$|\Gamma/2\Gamma| = |2^A| \geq |B| \geq |I|. \tag{5}$$

Note that any element of $K$ can be written in the form $ay^2b$, where $a \in U_\nu$, $y \in K$ and $b \in B$, since $B$ serves as a set of representatives for all values modulo squares. Define a subset $Q$ of $K$ by

$$Q = \{ ay^2b \mid y \in K, \ b \in B, \ a \in U_\nu, \ \text{with } \bar{a} \in \bar{S}_b \},$$

where $S_b$ is defined as

$$\bar{S}_b = \begin{cases} \ S_i, & \text{if } b = \varphi(i) \\ \text{any } S_j \neq S_{i_0}, & \text{if } b \notin \text{im}(\varphi). \end{cases}$$

The set $Q$ is a semiordering by Theorem 2.5. We claim that $Q$ covers $T'$, the preordering of $K$ defined to be the intersection of all orderings of $K$ extending those of $T$. Indeed, let $ay^2b \in T_Q$; i.e., $ay^2bQ = Q$. By Theorem 2.5, $\bar{a} \in S_1 \iff uab \in abQ = Q$. Therefore, $\bar{a} \bar{S}_1 = \bar{S}_b$, which can occur only if $b = 1$. Thus we have $aQ = Q$, whence $\bar{a} \bar{S}_b = \bar{S}_{b'}$ for all $b' \in B$. Since $\{\bar{S}_{b'}\}_{b' \in B} = \{S_i\}_{i \in I}$ covers $T$, we obtain $\bar{a} \in T$. Therefore $a \in T \cdot K^2 \subseteq T'$. Furthermore, since all elements of $T \cdot K^2$ have the form $ay^2$ with $a \in U_\nu$ and sums of such elements again have this form, we obtain $T' = T \cdot K^2$. \hfill \Box

We see from the proof above that if $|I|$ (the covering number of $T$) is finite and of 2-power order, then we can have equality in (5). More generally, we have the following corollary.

Corollary 2.7. Given any pythagorean field $F$ with preordering $T$, there exists an extension field $K$ of $F$ which is QSRC and such that $W(K)$ is isomorphic to a group ring $W_T(F)[G]$, where $G$ is an elementary abelian 2-group whose size depends on the covering number of $T$. If $\text{cn}(T)$ is finite, then $|G| = 2^n$ with $n \geq \log_2 \text{cn}(T)$ suffices.

Proof. Let $F', T'$ be as given in Proposition 2.6. Since $F$ is pythagorean and the extension is henselian, the field $F'$ is also pythagorean. From (5) we obtain the bound $|A| = n \geq \log_2 \text{cn}(T)$ for the number of indeterminates that suffices. Let $Q'$ be a semiordering which covers $T'$. We have $W_{T'}(F') \cong W_T(F)[G]$ essentially by a theorem of Springer (cf. [M1, §5.7]). Now apply Theorem 2.3 to $(F', Q')$ to obtain $K$. \hfill \Box
3. Witt ring computations.

In this section we translate the concept of covering number into the language of Witt rings, and give an effective means of calculating covering numbers for Witt rings of elementary type. All work is done in the category of reduced Witt rings. In particular, the nilradical is zero. The construction which gives all the finitely generated rings in this category is described prior to Proposition 3.4 (in which one would take the group $\Delta$ to be finite). Although we are freely using the language of fields in this section, it is not difficult to show that all definitions and concepts are valid in the category of abstract Witt rings (of finite chain length), and thus also in the category of abstract spaces of orderings. The abstract situation will be explored further in a subsequent paper.

Recall that for $T$ a preordering on a field $F$, the chain length of $T$, $\text{cl}(T)$, can be defined in terms of elements represented by binary $T$-forms, i.e., forms in $W_T(F)$ [L, §8]. In particular, $\text{cl}(T)$ is the supremum of all integers $k$ for which there exists a chain $D_T(1, a_0) \subset D_T(1, a_1) \subset \cdots \subset D_T(1, a_k)$.

We define the chain length of a reduced Witt ring $R$ to be $\text{cl}(R) = \text{cl}(T)$, where $R \cong W_T(F)$, when it is finite. The lemma below follows directly from [M2, Theorem 4.2.1].

**Lemma 3.1.** Let $W(T)$ be a Witt ring and $T$ a preordering on $F$.

1. $\text{cl}(T) = 1$ if and only if $T$ is an ordering, if and only if $W_T(F) \cong \mathbb{Z}$.
2. $T$ is a fan if and only if $\text{cl}(T) \leq 2$. Furthermore, $\text{cl}(T) = 2$ if and only if $W_T(F) \cong \mathbb{Z}[\Delta]$, $\Delta$ a nontrivial elementary abelian 2-group.
3. If $W_T(F) \cong R_1 \times \cdots \times R_m$, where each $R_i$ has finite chain length, then $\text{cl}(W_T(F)) = \sum_{i=1}^{m} \text{cl}(R_i)$.
4. If $W_T(F) \cong R[\Delta]$, where $\Delta$ is an elementary abelian 2-group and $R$ is a reduced Witt ring with $\text{cl}(R) \geq 2$, then $\text{cl}(W_T(F)) = \text{cl}(R)$.

The chain length can also be computed (when it is finite) directly from $W_T(F)$, using the correspondence between the structure of $W_T(F)$ and $I_T$, the involution subgroup of the W-group $G_F$ corresponding to $T$, as described in [CS1] and [MS]. We refer the reader to [MSp] for the definition of a W-group. Recall that $I_T$ is a closed subgroup of the W-group, generated by involutions (none of which are in the Frattini subgroup $\Phi(G_F)$), with the property that $T$ is precisely the set of elements in $F$ whose square roots are fixed by $I_T$. These groups all lie in the category of pro-2-groups of exponent at most 4, and with squares central. Free products of W-groups in this category correspond to direct products of Witt rings (in the category of Witt rings), and semidirect products correspond to group ring constructions. The connection between the structure of $I_T$ and $\text{cl}(T)$ is given in [CS1, Theorem 4.2]. This is the W-group analog to [EH, Lemma 2.1]. In particular, $\text{cl}(T) = \text{cl}(I_T)$, where for $G$ a pro-2-group, $\text{cl}(G)$ is as defined in [EH, §2].

We next show that the covering number of a preordering is also a Galois-theoretic property. While the proof given below is essentially analogous to [EH, Theorem 5.1], note that the result is stronger, in that we are showing this to be true for any preordering in any field, not just for the set of squares in a pythagorean field.
Theorem 3.2. Let $T, T'$ be preorderings on fields $F, F'$ respectively, and let $I, I'$ be corresponding involution subgroups in $G_F$ and $G_{F'}$, respectively. If $I \cong I'$, then $\text{cn}(T) = \text{cn}(T')$.

Proof. We need to show that any cover of $T$ can be detected using only properties of $I$. Kummer theory and the definition of $I$ give a canonical isomorphism $\hat{F}/\hat{T} \cong H^1(I) = \text{Hom}(I, \mathbb{Z}/2\mathbb{Z})$. As in [EH, proof of Theorem 5.1], we let $\psi$ be the image of the class of $-1$ under this isomorphism. Suppose that $T$ has a cover $S_i, i \in I$. This can be expressed in terms of $H^1(I)$ and $\psi$ by translating the conditions that each $S_i$ is a semiordering containing $T$, and that $\bigcap_{i \in I}\{x \in F \mid xS_i \subseteq S_i\} = T$, into conditions only involving $H^1(I)$ and $\psi$. It is the fact that each $S_i$ must contain $T$ that allows us to work with $I$ instead of $\hat{F}/(\sum \hat{F}^2)$ in the translation below.

Following [EH, proof of Theorem 5.1], for each $i \in I$, we let $A_i$ be the subset of $H^1(I)$ corresponding to the set of $\hat{T}$-cosets of $\hat{F}$ contained in $S_i$. (Note that each $\hat{S}_i$ is a union of $\hat{T}$-cosets.) The condition that $1 \in S_i$ is translated as $0 \in A_i$. That $S_i \cap -S_i = \{0\}$ and $S_i \cup -S_i = F$ is expressed as $H^1(I) = A_i \cup (\psi + A_i)$. To express the condition that every (non-empty) sum of finitely many non-zero elements of $S_i$ is non-zero uses the representation of the Witt-Grothendieck ring of $T$-forms in terms of generators and relations: $\tilde{W}_T(F) \cong \mathbb{Z}[H^1(I)]/J$, where $J$ is the ideal generated by all formal sums (in the group ring) $a + b - c - d$ such that $a, b, c, d \in H^1(I)$, $a + b = c + d$ in $H^1(I)$, and $a \cup b = c \cup d$ in $H^2(I)$, the second cohomology group. (That these are the appropriate relations for $J$ follows from [CS1, Theorem 3.3] or [CS2].) Using Witt’s decomposition theorem ([I, Corollary 1.21]), the condition that sums of nonzero elements in $S_i$ be nonzero is equivalent to the condition that for any $a_1, \ldots, a_n \in A_i$, the formal sum $a_1 + \cdots + a_n$ in $\mathbb{Z}[H^1(I)]$ is not congruent to any formal sum $b_1 + \cdots + b_{n-2} + 0 + \psi$ modulo $J$. It now follows from [Be, §2, Kor. to Satz 6] that $\psi$ can be identified in $H^1(I)$ as being the only continuous homomorphism whose kernel contains no element of order 2 outside its Frattini subgroup. (This is essentially because $T$ extends to $\sqrt{T}$ as long as $a \notin -T$. Therefore, such an extension is real, and the corresponding subgroup of the W-group will contain nontrivial involutions.) Thus the statement that each $S_i$ is a semiordering containing $T$ can be verified group theoretically in $I$.

It remains to express, in terms of the group $I$, the condition that $S_i, i \in I$, cover $T$. But this can be expressed as $S_i, i \in I$, cover $T$ if and only if $\bigcap_{i \in I}\{a \in H^1(I) \mid a + A_i = A_i\} = \{0\}$.

Since the covering number of a field (or in general any preordering) of finite chain length depends only on the isomorphism type of the corresponding reduced Witt ring, we can then make the following definition of the covering number of a reduced Witt ring, which is the Witt ring analogue to the definition of covering number of the absolute pro-2 Galois group of a field.

Definition 3.3. Let $F$ be a formally real field and let $T$ be a preordering of finite chain length. We define the covering number of $W_T(F)$ to be $\text{cn}(W_T(F)) = \text{cn}(T)$. In particular, $\text{cn}(W_{\text{red}}(F)) = \text{cn}(F)$.
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It is well known (cf. [Cr2], [M1]) that reduced Witt rings of finite chain length can be constructed recursively through the operations of direct product (in the category of reduced Witt rings) and group ring construction – that is, the reduced Witt rings of finite chain length are precisely the collection \( \mathcal{R} \) of (isomorphism types of) rings such that

1. \( \mathbb{Z} \in \mathcal{R} \),
2. if \( R_1, \ldots, R_m \in \mathcal{R} \), then \( R_1 \times \cdots \times R_m \in \mathcal{R} \) (where \( \times \) denotes direct product in the category of Witt rings), and
3. if \( R \in \mathcal{R} \) and if \( \Delta \) is an elementary abelian 2-group, then \( R[\Delta] \in \mathcal{R} \).

Also, we have the isomorphisms \( \mathbb{Z} \times \mathbb{Z} \cong \mathbb{Z}[\mathbb{Z}/2\mathbb{Z}] \) and \( (\mathbb{Z}[\Delta_1])[\Delta_2] \cong \mathbb{Z}[\Delta_1 \times \Delta_2] \). Other than these two isomorphisms and the obvious fact that the rings \( R_i \) in a direct product construction can be permuted, the construction of a given isomorphism type of reduced Witt ring of finite chain length is unique.

A reduced Witt ring will be called decomposable if it can be written as \( R_1 \times R_2 \) where \( R_1 \) and \( R_2 \) are reduced Witt rings, and otherwise it will be called indecomposable. The next proposition follows immediately from [M1, Corollary 6.25].

**Proposition 3.4.** Let \( R \not\cong \mathbb{Z} \) be a reduced Witt ring of finite chain length.

1. There exists an elementary abelian 2-group \( \Delta \) (possibly trivial) together with indecomposable reduced Witt rings \( R_1, \ldots, R_m \), \( 2 \leq m < \infty \), such that \( R \cong (R_1 \times \cdots \times R_m)[\Delta] \). Moreover, \( \text{cl}(R_1), \ldots, \text{cl}(R_m) < \text{cl}(R) \).
2. This presentation of \( R \) is unique up to a permutation of \( R_1, \ldots, R_m \).
3. \( R \) is indecomposable if and only if \( \Delta \neq \{1\} \) in (1).

We now describe an effective method for calculating \( \text{cn}(R) \) for a reduced Witt ring of finite chain length. This is the Witt ring version of [EH, Propositions 5.6, 5.7].

**Proposition 3.5.** Let \( R \) be a reduced Witt ring of finite chain length.

1. If \( R \cong R_1 \times \cdots \times R_m \), then \( \text{cn}(R) = \text{cn}(R_1) + \cdots + \text{cn}(R_m) \).
2. If \( R = R'[\Delta] \), then

\[
\text{cn}(R) = \begin{cases} 
2, & \text{if } (\Delta, R') \cong (\mathbb{Z}/2\mathbb{Z}, \mathbb{Z}) \\
\left\lceil \frac{\text{cn}(R')}{|\Delta|} \right\rceil, & \text{if } |\Delta| < \infty \text{ and } (\Delta, R') \not\cong (\mathbb{Z}/2\mathbb{Z}, \mathbb{Z}) \\
1, & \text{if } |\Delta| = \infty.
\end{cases}
\]

It is now straightforward to calculate \( \text{cn}(R) \) for reduced Witt rings \( R \) of finite chain length. As in the final table of [EH], we can easily write down the reduced Witt rings corresponding to pythagorean fields with a limited number of square classes, and determine their covering numbers. Those with covering number one correspond to semireal closed fields. This table is given in the Appendix for up to 32 square classes. Determining the covering number of a formally real field which is not pythagorean from the structure of its Witt ring is similarly straightforward. By Theorem 3.2 above, one simply needs to determine its reduced Witt ring and then compute the covering number for this.
4. Connections with strongly order closed fields.

We have demonstrated that we can control the growth of the reduced Witt ring under special algebraic extensions by restricting ourselves to the orderings over a preordering with covering number one. This is in contrast to the work in [Cr3], where the entire space of orderings was used and order closed and strongly order closed fields were investigated. In this section, we look at some connections between the notions of semireal closed and strongly order closed. In [Cr3, Theorem 2.1] it is shown that a field $F$ being strongly order closed is equivalent to $F$ being pythagorean and having the property that every polynomial in $F[x]$ of odd degree has a root in $F$. In comparison, we have

**Proposition 4.1.** A field $F$ is SRC if and only if it is QSRC and every polynomial in $F[x]$ of odd degree has a root in $F$.

*Proof.* It is shown in [EH, Lemma 4.1] that a field is SRC if and only if it is QSRC and its absolute Galois group is a pro-2 group. Since this latter condition is equivalent to the field having no odd degree extensions, the result follows. $\square$

From this, we easily obtain the fact that the SRC fields which we have been studying here are strongly order closed, and in particular, are order closed.

**Proposition 4.2.** Every SRC field is strongly order closed.

*Proof.* We know that any SRC field is pythagorean. From Proposition 4.1, we know that it has no odd degree extensions, and thus every minimal extension is quadratic. By [Cr3, Theorem 2.1], it is strongly order closed. $\square$

**Corollary 4.3.**

1. Every SRC field is an intersection of real closed fields.
2. Every QSRC field is an intersection of euclidean fields.

*Proof.* (1) By Proposition 4.2, all SRC fields are strongly order closed. It is clear that a strongly order closed field is order closed, and such fields are known to be equal to the intersections of all their real closures inside a fixed algebraic closure [Cr3, Theorem 2.9].

(2) is rather trivial, in that every pythagorean field is, in fact, an intersection of euclidean fields. This is easy to see; just take $K$ to be the intersection of all euclidean closures of a pythagorean field $F$. Then $K/F$ is a 2-extension. But adjoining any square root to $F$ must kill at least one ordering. Since all orderings of $F$ extend to $K$, we must have $K = F$. $\square$
Appendix: Table of Reduced Witt Rings with a small number of square classes.

The notation in the following table is as follows: $\mathbb{Z}_n$ denotes the additive group of $\mathbb{Z}/n\mathbb{Z}$; following [EH, p. 75], $D_n$ denotes the free pro-2 product of $n$ copies of $\mathbb{Z}_2$; the operations in the Galois group column are described in [EH] and in more detail in [JW]; the operations in the W-group column are defined in [MS]; the notation in the Witt ring column is defined in [M1]. In each case, the operations are defined within a specific category. For example, the direct product in the category of Witt rings is not the same as in the category of rings.

<table>
<thead>
<tr>
<th>No. of sq. cls.</th>
<th>Pro-2 Galois group $G_F(2)$</th>
<th>W-group $G_F$</th>
<th>Witt ring $W(F)$</th>
<th>cover. num.</th>
</tr>
</thead>
<tbody>
<tr>
<td>2</td>
<td>$D_1$</td>
<td>$\mathbb{Z}_2$</td>
<td>$\mathbb{Z}$</td>
<td>1</td>
</tr>
<tr>
<td>4</td>
<td>$D_2$</td>
<td>$\mathbb{Z}_2 \ast \mathbb{Z}_2 \cong \mathbb{Z}_4 \times \mathbb{Z}_2$</td>
<td>$\mathbb{Z}[x]$</td>
<td>2</td>
</tr>
<tr>
<td>8</td>
<td>$\mathbb{Z}_2 \times D_2$</td>
<td>$\mathbb{Z}_4 \times (\mathbb{Z}_2 \ast \mathbb{Z}_2)$</td>
<td>$\mathbb{Z}[x,y]$</td>
<td>1</td>
</tr>
<tr>
<td>8</td>
<td>$D_3$</td>
<td>$\mathbb{Z}_2 \ast \mathbb{Z}_2 \ast \mathbb{Z}_2$</td>
<td>$\mathbb{Z} \times \mathbb{Z}[x]$</td>
<td>3</td>
</tr>
<tr>
<td>16</td>
<td>$\mathbb{Z}_2^2 \times D_2$</td>
<td>$\mathbb{Z}_4 \times (\mathbb{Z}_4 \times (\mathbb{Z}_2 \ast \mathbb{Z}_2))$</td>
<td>$\mathbb{Z}[x,y,z]$</td>
<td>1</td>
</tr>
<tr>
<td>16</td>
<td>$(\mathbb{Z}_2 \times D_2) \ast D_1$</td>
<td>$\mathbb{Z}_2 \ast (\mathbb{Z}_4 \times (\mathbb{Z}_2 \ast \mathbb{Z}_2))$</td>
<td>$\mathbb{Z} \times \mathbb{Z}[x,y]$</td>
<td>2</td>
</tr>
<tr>
<td>16</td>
<td>$\mathbb{Z}_2 \times D_3$</td>
<td>$\mathbb{Z}_4 \times (\mathbb{Z}_2 \times \mathbb{Z}_2 \ast \mathbb{Z}_2)$</td>
<td>$\mathbb{Z}^3[x]$</td>
<td>2</td>
</tr>
<tr>
<td>16</td>
<td>$D_4$</td>
<td>$\mathbb{Z}_2 \ast \mathbb{Z}_2 \ast \mathbb{Z}_2 \ast \mathbb{Z}_2$</td>
<td>$\mathbb{Z}[x] \times \mathbb{Z}[y]$</td>
<td>4</td>
</tr>
<tr>
<td>32</td>
<td>$\mathbb{Z}_2^2 \times D_3$</td>
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<td>$(\mathbb{Z} \times \mathbb{Z}[x])[y,z]$</td>
<td>1</td>
</tr>
<tr>
<td>32</td>
<td>$\mathbb{Z}_2^3 \times D_2$</td>
<td>$\mathbb{Z}_4 \times (\mathbb{Z}_4 \times (\mathbb{Z}_4 \times (\mathbb{Z}_2 \ast \mathbb{Z}_2)))$</td>
<td>$\mathbb{Z}[x,y,z,w]$</td>
<td>1</td>
</tr>
<tr>
<td>32</td>
<td>$\mathbb{Z}_2 \times ((\mathbb{Z}_2 \times D_2) \ast D_1)$</td>
<td>$\mathbb{Z}_4 \times ((\mathbb{Z}_4 \times (\mathbb{Z}_2 \times \mathbb{Z}_2)) \ast \mathbb{Z}_2)$</td>
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<tr>
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<td>$(\mathbb{Z}_4 \times ((\mathbb{Z}_2 \times \mathbb{Z}_2))) \ast (\mathbb{Z}_2 \ast \mathbb{Z}_2)$</td>
<td>$\mathbb{Z}[x,y] \times \mathbb{Z}[z]$</td>
<td>3</td>
</tr>
<tr>
<td>32</td>
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<td>$(\mathbb{Z}_4 \times (\mathbb{Z}_2 \times \mathbb{Z}_2 \ast \mathbb{Z}_2)) \ast \mathbb{Z}_2$</td>
<td>$\mathbb{Z} \times (\mathbb{Z}^3[x])$</td>
<td>3</td>
</tr>
<tr>
<td>32</td>
<td>$D_5$</td>
<td>$\mathbb{Z}_2 \ast \mathbb{Z}_2 \ast \mathbb{Z}_2 \ast \mathbb{Z}_2 \ast \mathbb{Z}_2$</td>
<td>$\mathbb{Z} \times \mathbb{Z}[x] \times \mathbb{Z}[y]$</td>
<td>5</td>
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</table>

References


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